

TSWG Q&A
5/7/20

1. How should firms populate the aggregatedOrders field on representative orders created after the Compliance go-live date that represent a GTC order that was received prior to the Compliance go-live date?
2. Can FINRA CAT describe the acceptable thresholds for error rates especially in relation to phased validation rollout, as well as the expected error rates pursuant to each phase of test functionality roll out?
3. When must Industry Members be certified for the Production Environment for Phases 2a and 2b?
4. What is the implementation date for the Clock Synchronization Self Reporting Form?