TSWG (CAIS) Q&A
6/29/2021

1. The *accountName* field only allows for 40 characters, are there plans to expand the character limit on this field?

2. The CCID Technical Specifications require the reporting of *regionCode* and *postalCode* for all addresses, but Industry Members may not have such information available in ISO 3166-2 format for addresses outside of United States and Canada. Will the validations be relaxed?

3. In the CCID Technical Specifications, the *customerType* value of 'EMPLOYEE' is defined as "an employee or associated person of the Industry Member." How is "associated person" defined?

4. If an Industry Member acquires another Industry Member, and acquired firm assigned ULTIDs, is the acquiring firm required to assign ULTIDs based on the acquired firm's previous Unidentified Large Trader determination or does the acquiring firm need to make its own determination?