

Outstanding Rejections Feedback File

- ▶ **Outstanding Rejections Feedback File:** Provides the details for the outstanding FDIDs and Customers that the Industry Member must repair.
- ▶ **Outstanding Rejections Feedback File Name:**
 - CAT Submitter ID_CAT Reporter CRD_Correspondent CRD_ File Generation Date_ File Kind_ Group_ File Type.Format Extension.Compression Extension
 - 99999999_555_2_20240401*_OUTSTANDINGREJECTIONS_000001_data.json.bz2

Elements of Outstanding Rejections Feedback File (1 / 2)

Field Name	Data Type	JSON Object	Include Key	Description
type	Choice	Main	R	<ul style="list-style-type: none"> • fdidRejection- indicates the FDID Record was rejected. • customerRejection- indicates the Customer Record was rejected.
rejectedFDID	Text (40)	Main	C	The <i>firmDesignatedID</i> , as submitted to CAIS, of the record having the data validation error. Only populated on FDID rejections.
submissionFilename	Text (100)	Main	R	Name of the file in which the rejected FDID or Customer was last submitted.
submissionID	Unsigned	Main	R	The unique Submission ID assigned to the CAIS Data File by the CAT CAIS system. Used to identify the single submission activity. If the same filename is submitted across multiple submissions, each submission instance will have a separate and unique Submission ID value.
rejectionID	Unsigned	Main	R	Identifier assigned by CAT to uniquely identify a rejected record.
fdidRecordID	Unsigned	Main	C	The <i>fdidRecordID</i> , as submitted to CAIS, of the record having the data validation error. Only populated on FDID rejections.
errorCode	Unsigned	Main	R	The error code of the data validation error.
customerRecordID	Array of Unsigned Values	Main	C	The <i>customerRecordID</i> , as submitted to CAIS, of the record having the data validation error. Only populated on Customer rejections or when an FDID Record rejects because an associated Customer Record rejected.
rejectionTimestamp	Timestamp	Main	R	Indicates the time at which the data validation error was originally identified.

Elements of Outstanding Rejections Feedback File (2 / 2)

Field Name	Data Type	JSON Object	Include Key	Description
addrType	Choice	Main	C	The <i>addrType</i> , as submitted to CAIS, of the record having the data validation error. Only populated when an address error resulted in the rejection. When populated, either <i>firmDesignatedID</i> or <i>customerRecordID</i> will be populated.
largeTraderRecordID	Unsigned	Main	C	The <i>largeTraderRecordID</i> , as submitted to CAIS, of the record having the data validation error. Only populated on Large Trader rejections.
authTraderNameID	Unsigned	Main	C	The <i>authTraderNameID</i> , as submitted to CAIS, of the record having the data validation error. Only populated when an Authorized Trader Name error resulted in the rejection
customerRejectionEventID	Text (100)	Main	C	A unique identifier assigned by CAT for the specific Customer – by <i>tidValue</i> – having one or more rejections. If the same Customer (<i>tidValue</i>) is subsequently rejected, it will be assigned the same unique identifier. This ID is used because the CAT NMS Plan-Defined PII – including <i>tidValue</i> – of the Customer cannot be returned to the Industry Member after it is submitted to CAT.