

Petition for Operating Committee Review of Fee Review Hearing Panel Decision

Purpose: A CAT Reporter that wishes to petition the Operating Committee to review the Fee Review Hearing Panel's decision regarding the CAT Reporter's disputed CAT fees shall file this Petition for Operating Committee Review of Fee Review Hearing Panel Decision within 15 business days after issuance of the decision. A CAT Reporter must file this Petition for Operating Committee Review of Fee Review Hearing Panel Decision by submitting it to the FINRA CAT Helpdesk at help@finracat.com.

1. **Date of Filing of Petition:**

2. **Date of Issuance of Decision by Fee Review Hearing Panel:**

3. **CAT Reporter Name:**

4. **CAT Reporter CRD Number:**

5. **Specify the findings and conclusions to which the applicant objects, together with the reasons for such objections:**

6. **Does the applicant request to submit a written argument to the Operating Committee?**

7. **Does the applicant request an opportunity to make an oral argument before the Operating Committee?**

8. **Contact Information:**

 Contact Name:
 Email Address:
 Phone Number: