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# Monthly CAT Update

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August 21, 2025

# Agenda

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- Transaction System
- CAIS
- CAT Billing
- Compliance
- Upcoming Industry Webinars and Other Updates
- 2025 SIFMA DR Test
- Q&A
- Appendix- Transaction Production Statistics

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# Transaction System Updates

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# Exemptive Relief

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- ▶ On July 23, 2025 the SEC issued an [order](#) granting a six-month extension of previously granted exemptive relief from certain requirements of the CAT NMS Plan related to lifecycle linkages between customer orders and representative orders, until January 31, 2026.

# Transaction FAQ Updates

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- ▶ No new Transaction [FAQs](#) were published.
- ▶ Seven Transaction FAQs were updated:
  - FAQs [B43](#), [B44](#), [F5](#), [F6](#), [F7](#) and [J6](#) were updated to conform with 4.1.0 r9 of the Phase 2d Industry Member Technical Specifications.
  - [K10](#) was updated to note that Industry Members should contact each Option Exchange directly to determine whether a certain exchange protocol treats events as orders or quotes and removed the Options Exchange Protocols list that was generated in December 2020.

# Transaction Specifications and Scenario Updates

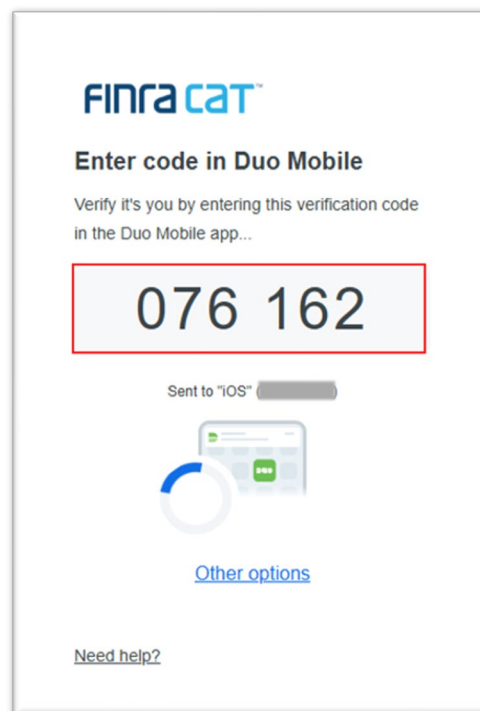
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- ▶ The following were updated and published on July 31, 2025:
  - [v4.1.0 r9 of the Phase 2d Industry Member CAT Reporting Technical Specifications](#) (clean and redlined).
  - [v4.16 of the Phase 2d Industry Member Reporting Scenarios](#) (clean and redlined).
  - Table 1 was retired from the Transaction Technical Specifications and has been published as [Historical Industry Specification Phased Approach](#) in the Technical Documentation section of the [Industry Member Specifications](#) page on catnmsplan.com.

# Transaction Updates Continued

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- ▶ The [Industry Member Onboarding Guide](#) was updated and published on August 19, 2025.
  - Section 7 (Manage Multi-Factor Authentication) was updated to reflect that Reporter Portal users must enter a PIN into the Duo application effective August 31, 2025.



# Transaction: 2025 Industry Member Release Rollout

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## Third Quarter Release

Spec Publication – 5/30/2025

Industry Test – 8/25/2025

Production/Production Mirror –  
9/29/2025

### Add New Error Codes in Current Feedback

- **Ingestion:** To validate presence of FDID or Aggregated Orders on supplement events: MENOS, MONOS, MLQS and MLOS
- **Linkage:** To identify Pending FDID Errors

### Reporter Portal

- Display Pending FDID Errors in Reporting Summary and Error Code Counts screens

## 24X National Exchange Release

Spec Publication – 7/31/2025

Industry Test – 8/25/2025

Production/Production Mirror –  
9/29/2025

### New Values for Existing Fields

Add new '24X' value for the *destination* and *senderIMID* fields and 'G' for the *marketCenterID* field to support 24X National Exchange

## Fourth Quarter Release

Spec Publication – 9/30/2025

Industry Test – 11/3/2025

Production/Production Mirror –  
12/8/2025

### New Error Codes in Current Feedback

- **Ingestion:** To validate the presence of single set of *buyDetails/sellDetails* on trade events, and *clientDetails/firmDetails* on fulfillment events.



# Transaction Industry Test Environment Availability

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- ▶ The CAT Industry Test environment will re-open for SFTP submissions and linkage feedback on August 25, 2025 for the [Third Quarter Release](#).
- ▶ Industry Members may continue to test the current CAT Transaction schema format in the CAT Production Mirror Environment.
- ▶ The CAT CAIS Industry Test Environment is not impacted and the CAT Transaction Reporter Portal will continue to be available in the Industry Test Environment.

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# CAIS Updates

# CAIS Guidance Updates

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- ▶ No new or updated CAIS [FAQs](#) were published.
- ▶ v2.2.0 r4 of the [Customer & Account Technical Specifications for Industry Members](#) (Clean and Redlined) was published on August 14, 2025
  - Error Code 21018 was reinserted to conform with current Production validations.
- ▶ There have been no updates to the Full CAIS Reporting Scenarios document.

# CAIS: 2025 Industry Member Release Rollout

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## CAIS Reporter Portal Rejection Enhancements

Test Date – 8/25/2025

Production Date – 9/8/2025

On the Account and Customer  
Rejections Screens:

- Add Error Repaired Timestamp
- Add 'Late Repaired' Status and status colors distinguishing timely Repaired, Late Repaired and Outstanding rejections
- Display repaired rejections up to 90 days

## CAIS Report Card Enhancements

Production Date – TBD

- Generate Compliance Error Rate based on Unique Identifiers
- Incorporate Tiers and Peer Group Calculations
- Add FDID Refresh Report statistics to Report Card

***Note: Production date to be determined based on Amendment decision.***

*Planned Industry Member releases and Technical Specification updates are subject to approval by the CAT NMS Plan Operating Committee.*

# CAIS Reporter Portal Rejection Enhancements

CAIS Reporter Portal

11:16:28 AM EDT  
2025-06-10

User 99999999 Test Firm

## Submission Management

Reporter CRD 99999999 Test Firm

File Submission Manual Submission Submissions in Progress Completed Submissions **Rejections** Inconsistencies

Account Rejections Customer Rejections

Show columns

FDID	FDID Record ID	Submission ID	Rejection ID	Error Code	Error Identification Date	Error Repair Deadline	Error Repaired Timestamp	Status
FDID1	12345	8118	1111559	22012	2025-06-07 01:20:48 EDT			
	12345	8118	1111621	22007	2025-06-07 01:20:48 EDT			
	12345	8118	1111622	22011	2025-06-07 01:20:48 EDT	2025-06-09 17:00:00 EDT		
	12345	8118	1111623	22046	2025-06-07 01:20:48 EDT			
	12345	8118	1111624	22069	2025-06-07 01:20:48 EDT			
			<a href="#">Show 5 more</a>					
FDID2	55555	8118	1111552	22012	2025-06-07 01:20:48 EDT			
	55555	8118	1111577	22007	2025-06-07 01:20:48 EDT			
	55555	8118	1111578	22011	2025-06-07 01:20:48 EDT	2025-06-09 17:00:00 EDT	2025-06-12 17:00:00 EDT	
	55555	8118	1111579	22044	2025-06-07 01:20:48 EDT			
	55555	8118	1111580	22069	2025-06-07 01:20:48 EDT			
			<a href="#">Show 5 more</a>					
FDID3	44444	8118	1111555	22010	2025-06-07 01:20:48 EDT			
	44444	8118	1111556	22012	2025-06-07 01:20:48 EDT			
	44444	8118	1111600	22007	2025-06-07 01:20:48 EDT	2025-06-09 17:00:00 EDT	2025-06-09 17:00:00 EDT	
	44444	8118	1111601	22010	2025-06-07 01:20:48 EDT			
	44444	8118	1111602	22011	2025-06-07 01:20:48 EDT			
			<a href="#">Show 6 more</a>					

New Column Added

Outstanding Rejections will have a red status label

Outstanding (10)

Late Repaired

Late Repaired Rejections will have a yellow status label

Repaired

catnmsplan.com

POWERED BY  
FinraCAT

UI Version: 3.5.0-release/3.4.0.447-af9a1a6b  
Service Version: 3.2.1

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## CAT Billing Updates

# CAT Billing Guidance Updates

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- ▶ No new or updated CAT Billing [FAQs](#) were published.
- ▶ v1.2 r2 of the [CAT Technical Specifications for Billing Trade Details](#) (clean and redlined) was published on August 19, 2025
  - Invoices will be delivered via SFTP in PDF format
  - Addition of 24X National Exchange.
- ▶ There have been no updates to the CAT Billing Scenarios document.

# 2025–2026 CAT Billing Release Rollout

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## Third Quarter Release Production – 9/25/2025

- Add Late Fee monthly breakdown in invoice
- Deliver PDF invoice via SFTP

## 2026 Planned Release Production – TBD

### **Reporter Portal**

- Add filtering and sorting for Invoice Screen

*Planned Industry Member releases and Technical Specification updates are subject to approval by the CAT NMS Plan Operating Committee.*



# CAT Billing: CAT Invoices

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- ▶ The payable CAT invoice and related trade detail files representing CAT billable trading activity for July 2025 will be published to CAT Executing Brokers on August 25, 2025.
- ▶ The invoice due date is September 24, 2025.
- ▶ It includes CAT Fees 2025-1 and 2025-2 (July 2025 trades) and Historical CAT Assessment 1.

# CAT Billing: Payable CAT Invoices

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- ▶ New [CAT Alert 2025-03](#) provides guidance to Industry Members that CAT fees remain in effect during the stay of the decision of the U.S. Court of Appeals for the Eleventh Circuit on the CAT funding model. CAT LLC will be communicating updates regarding the stay as necessary.
- ▶ New [CAT Fee Alert 2025-3](#) provides notice to Industry Members that CAT Fee 2025-2 (which has a fee rate of \$0.000009 per executed equivalent share) will replace CAT Fee 2025-1 (which has a fee rate of \$0.000022 per executed equivalent share) beginning with the August 2025 invoice.

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# Compliance Updates

# Industry Member Report Card Updates

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- ▶ The July 2025 CAT Industry Member Transaction and the Full CAIS Report Cards were published on August 15, 2025.
- ▶ Other Compliance Resources:
  - [Industry Member Report Card- Known Issues List](#)
  - [Transaction Compliance Glossary](#)
  - [CAIS Compliance Glossary](#)
  - Previously Recorded and Posted [Report Card Webinars](#)

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# Upcoming Industry Webinars and Other Updates

# Monthly CAT Update

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- ▶ The next [Monthly CAT Update](#) will be held on September 18, 2025 at 4:15 pm ET.
- ▶ The recording and presentation from the July 17, 2025 [Monthly CAT Update](#) are available on the CAT website.

# Other Updates

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- ▶ An updated [list](#) of Advisory Committee members was published on July 18, 2025.
- ▶ The [2025 User Accounts Entitlement Certification Period for CAT Reporting Agents](#) is September 8, 2025 through November 10, 2025
  - Not applicable to Industry Members
  - During this period, a Certification Representative for each organization must certify that accounts with access to CAT applications, data and tools are valid and users are entitled only to the entitlements (privileges) necessary to perform their current job responsibilities.

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# 2025 SIFMA DR Test

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# 2025 SIFMA DR Test

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- ▶ FINRA CAT, along with selected CAT Reporters will participate in the 2025 SIFMA DR Test in similar fashion to the 2024 SIFMA DR Test.
- ▶ Selected CAT Reporters have been notified and have received test scripts.
- ▶ The 2025 SIFMA DR Test will:
  - Occur on Saturday, October 25, 2025 (8 am to 2 pm ET)
  - Require both Full CAIS and Transaction submissions
  - Only validate ingestion and will provide ingestion feedback (note: no linkage feedback process will be executed).
- ▶ FINRA CAT plans to use its Enhanced Disaster Recovery environment (EDR) in the AWS East-2 Region.
- ▶ FINRA CAT will utilize the Production Mirror Environment in that region.
  - Please utilize the [Connectivity Supplement for Industry Members](#) for additional network connectivity configurations.
- ▶ Submitters should test their network connectivity to this new region between September 27, 2025 and October 22, 2025 (prior to the October 25, 2025 test date).
- ▶ FINRA CAT will host a SIFMA DR Test meeting with selected Submitters on September 23, 2025 and October 21, 2025 and (meeting invitations will be distributed).

# 2025 SIFMA Test Roadmap



Environment Unavailable



Environment Available

10/23/25 (Thursday)

10/25/25 (Saturday  
8AM – 2PM ET)

10/26/25 (Sunday  
10 am ET) ->

East 1

East 2  
- EDR

Prod

Prod

IT

IT

Prod  
Mirror

Prod  
Mirror

Offline 7:30AM

East 1

East 2  
- EDR

Prod

Prod

IT

IT

Prod  
Mirror

Prod  
Mirror

Offline 7:30AM

Offline 7:30AM

East 1

East 2  
- EDR

Prod

Prod

IT

IT

Prod  
Mirror

Prod  
Mirror

# Live CAT Overview Training Sessions

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- ▶ FINRA CAT, LLC is offering live and interactive CAT Overview training sessions for Industry Members and CAT Reporting Agents.
- ▶ The CAT Overview focuses on the CAT NMS Plan, Transaction, and CAIS and Report Cards, and includes a live demonstration of the CAT Reporter Portal.
- ▶ All training sessions are fully customizable to suit your firm's needs.
- ▶ Please contact the FINRA CAT Helpdesk at [help@finracat.com](mailto:help@finracat.com) or (888) 696-3348 to schedule a training session for your firm.

# FINRA CAT Helpdesk

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Questions may be directed to the FINRA CAT Helpdesk at 888-696-3348 or [help@finracat.com](mailto:help@finracat.com)

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## Q&A

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# How to Ask a Question during Today's Call

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- **For participants using computer audio:**
  - Click the “Raise Hand” button at the bottom of the participants window.
  - A visual prompt will indicate that your line has been unmuted.
- **For participants using phone audio:**
  - Enter \*9 on your phone keypad.
  - An audio prompt will indicate that your line has been unmuted.

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# Appendix

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# Equities – Rolling Five Day Period

## Initial T+1 vs. T+5 8 am Data Ready for Regulators

### July 11, 2025 – July 28, 2025

Trade Date	Late%	Rejection Initial	Rejection Adjusted	Intrafirm Initial	Intrafirm Adjusted	Interfirm Sent Initial	Interfirm Sent Adjusted	Interfirm Received Initial	Interfirm Received Adjusted	Exchange Initial	Exchange Adjusted	Trade Initial	Trade Adjusted	Overall Error Rate Initial	Overall Error Rate Adjusted
7/11/2025	.0031%	.0006%	.0006%	.0053%	.0021%	.0333%	.0096%	.0699%	.0424%	.0128%	.0128%	.0051%	.0050%	.0203%	.0124%
7/14/2025	.0013%	.0006%	.0006%	.0026%	.0023%	.0041%	.0030%	.0337%	.0257%	.0000%	.0000%	.0029%	.0028%	.0080%	.0067%
7/15/2025	.0039%	.0005%	.0004%	.0026%	.0019%	.0171%	.0020%	.0526%	.0337%	.0000%	.0000%	.0005%	.0004%	.0139%	.0096%
7/16/2025	.0002%	.0021%	.0004%	.0021%	.0016%	.0039%	.0021%	.0304%	.0278%	.0000%	.0000%	.0005%	.0004%	.0076%	.0051%
7/17/2025	.1134%	.0006%	.0005%	.0032%	.0026%	.0058%	.0026%	.0836%	.0235%	.0000%	.0000%	.0008%	.0007%	.1262%	.1186%
7/18/2025	.0086%	.0007%	.0005%	.0026%	.0022%	.0066%	.0027%	.0520%	.0339%	.0008%	.0000%	.0005%	.0005%	.0176%	.0147%
7/21/2025	.0016%	.0007%	.0006%	.0039%	.0025%	.0055%	.0022%	.0277%	.0202%	.0008%	.0000%	.0007%	.0006%	.0088%	.0065%
7/22/2025	.0031%	.0005%	.0005%	.0026%	.0019%	.0053%	.0026%	.0301%	.0186%	.0004%	.0000%	.0006%	.0005%	.0095%	.0073%
7/23/2025	.0034%	.0005%	.0004%	.0030%	.0019%	.0059%	.0035%	.1018%	.0935%	.0000%	.0000%	.0006%	.0005%	.0180%	.0160%
7/24/2025	.0249%	.0006%	.0005%	.0055%	.0025%	.0076%	.0045%	.0635%	.0268%	.0000%	.0000%	.0005%	.0004%	.0373%	.0307%
7/25/2025	.0211%	.0006%	.0005%	.0028%	.0023%	.0069%	.0040%	.0965%	.0864%	.0000%	.0000%	.0004%	.0003%	.0352%	.0334%
7/28/2025	.0026%	.0007%	.0006%	.0038%	.0024%	.0111%	.0039%	.0348%	.0251%	.0000%	.0000%	.0002%	.0001%	.0111%	.0082%



# Equities – Rolling Five Day Period

## Initial T+1 vs. T+5 8 am Data Ready for Regulators

### July 29, 2025 – August 14, 2025

Trade Date	Late%	Rejection Initial	Rejection Adjusted	Intrafirm Initial	Intrafirm Adjusted	Interfirm Sent Initial	Interfirm Sent Adjusted	Interfirm Received Initial	Interfirm Received Adjusted	Exchange Initial	Exchange Adjusted	Trade Initial	Trade Adjusted	Overall Error Rate Initial	Overall Error Rate Adjusted
7/29/2025	.0094%	.0005%	.0004%	.0027%	.0020%	.0075%	.0048%	.0314%	.0262%	.1128%	.0976%	.0003%	.0001%	.0292%	.0259%
7/30/2025	.0190%	.0004%	.0003%	.0023%	.0018%	.0099%	.0077%	.2501%	.2480%	.0964%	.0897%	.0003%	.0001%	.0609%	.0593%
7/31/2025	.0162%	.0004%	.0004%	.0023%	.0017%	.0275%	.0093%	.0239%	.0039%	.1367%	.1367%	.0027%	.0019%	.0394%	.0346%
8/1/2025	.1277%	.0004%	.0003%	.0018%	.0017%	.3584%	.0101%	.0117%	.0008%	.1273%	.1273%	.0019%	.0019%	.1848%	.1448%
8/4/2025	.0518%	.0008%	.0007%	.0037%	.0023%	.0410%	.0062%	.1206%	.0022%	.4332%	.4332%	.0021%	.0020%	.1234%	.1052%
8/5/2025	.1308%	.0003%	.0003%	.0024%	.0018%	.4092%	.0073%	.2922%	.0013%	.0000%	.0000%	.0027%	.0025%	.2120%	.1333%
8/6/2025	.0207%	.0004%	.0003%	.0021%	.0018%	.0124%	.0078%	.0076%	.0019%	.0000%	.0000%	.0018%	.0017%	.0249%	.0234%
8/7/2025	.0180%	.0010%	.0002%	.0019%	.0017%	.0136%	.0081%	.0096%	.0040%	.0002%	.0000%	.0027%	.0025%	.0230%	.0208%
8/8/2025	.0012%	.0010%	.0002%	.0030%	.0018%	.0114%	.0083%	.0073%	.0019%	.0000%	.0000%	.0123%	.0120%	.0065%	.0038%
8/11/2025	.0739%	.0004%	.0003%	.0040%	.0021%	.0130%	.0076%	.6804%	.0014%	.0013%	.0002%	.0099%	.0097%	.1558%	.0766%
8/12/2025	.0056%	.0002%	.0001%	.0041%	.0018%	.0104%	.0058%	.0201%	.0014%	.0000%	.0000%	.0151%	.0150%	.0121%	.0077%
8/13/2025	.0159%	.0002%	.0000%	.0049%	.0017%	.0044%	.0012%	.0062%	.0015%	.0000%	.0000%	.0120%	.0118%	.0207%	.0174%
8/14/2025	.0410%	.0001%	.0000%	.0035%	.0016%	.0051%	.0028%	.1009%	.0028%	.0001%	.0000%	.0007%	.0002%	.0554%	.0428%

# Options – Rolling Five Day Period

## Initial T+1 vs. T+5 8 am Data Ready for Regulators

### July 11, 2025 – July 28, 2025

Trade Date	Late%	Rejection Initial	Rejection Adjusted	Intrafirm Initial	Intrafirm Adjusted	Interfirm Sent Initial	Interfirm Sent Adjusted	Interfirm Received Initial	Interfirm Received Adjusted	Exchange Initial	Exchange Adjusted	Trade Initial	Trade Adjusted	Overall Error Rate Initial	Overall Error Rate Adjusted
7/11/2025	.0003%	.0003%	.0002%	.0025%	.0023%	.0009%	.0002%	.0146%	.0003%	.0006%	.0006%	.1036%	.0995%	.0025%	.0023%
7/14/2025	.0037%	.0002%	.0002%	.0022%	.0019%	.0008%	.0002%	.3044%	.0005%	.0001%	.0001%	.3000%	.2912%	.0064%	.0053%
7/15/2025	.0054%	.0006%	.0005%	.0053%	.0043%	.0618%	.0612%	.4362%	.4252%	.0001%	.0001%	.1269%	.1194%	.0096%	.0088%
7/16/2025	.0002%	.0002%	.0002%	.0054%	.0049%	.1875%	.1869%	.4423%	.4339%	.0013%	.0002%	.0413%	.0207%	.0066%	.0059%
7/17/2025	.0093%	.0002%	.0002%	.0023%	.0021%	.0011%	.0004%	1.4355%	.0073%	.0001%	.0001%	.0337%	.0135%	.0158%	.0110%
7/18/2025	.0006%	.0003%	.0003%	.0024%	.0022%	.0010%	.0003%	.0953%	.0105%	.0002%	.0002%	.0580%	.0068%	.0030%	.0025%
7/21/2025	.0000%	.0003%	.0002%	.0020%	.0018%	.0008%	.0003%	.0121%	.0056%	.0001%	.0001%	.0483%	.0264%	.0018%	.0016%
7/22/2025	.0025%	.0002%	.0002%	.0019%	.0018%	.0016%	.0009%	.0179%	.0009%	.0002%	.0001%	.0353%	.0132%	.0042%	.0040%
7/23/2025	.0017%	.0002%	.0002%	.0018%	.0016%	.1011%	.1004%	.0450%	.0340%	.0002%	.0002%	.0521%	.0130%	.0038%	.0036%
7/24/2025	.0006%	.0002%	.0002%	.0020%	.0018%	.0025%	.0012%	.0369%	.0013%	.0001%	.0001%	.0534%	.0082%	.0024%	.0021%
7/25/2025	.0073%	.0002%	.0002%	.0020%	.0019%	.0759%	.0748%	.0372%	.0217%	.0001%	.0001%	.0146%	.0000%	.0094%	.0092%
7/28/2025	.0002%	.0002%	.0002%	.0019%	.0017%	.0013%	.0006%	.0122%	.0008%	.0129%	.0129%	.0651%	.0087%	.0054%	.0052%

# Options – Rolling Five Day Period

## Initial T+1 vs. T+5 8 am Data Ready for Regulators

### July 29, 2025 – August 14, 2025

Trade Date	Late%	Rejection Initial	Rejection Adjusted	Intrafirm Initial	Intrafirm Adjusted	Interfirm Sent Initial	Interfirm Sent Adjusted	Interfirm Received Initial	Interfirm Received Adjusted	Exchange Initial	Exchange Adjusted	Trade Initial	Trade Adjusted	Overall Error Rate Initial	Overall Error Rate Adjusted
7/29/2025	.0021%	.0014%	.0008%	.0021%	.0019%	.0012%	.0006%	.0112%	.0007%	.0001%	.0001%	.0027%	.0027%	.0050%	.0043%
7/30/2025	.0027%	.0013%	.0002%	.0019%	.0016%	.0014%	.0006%	.0141%	.0081%	.0002%	.0002%	.0020%	.0000%	.0055%	.0041%
7/31/2025	.0030%	.0015%	.0002%	.0018%	.0016%	.0047%	.0022%	.0396%	.0027%	.0001%	.0001%	.0064%	.0000%	.0059%	.0045%
8/1/2025	.0018%	.0003%	.0003%	.0020%	.0018%	.0046%	.0018%	.0509%	.0060%	.4682%	.0002%	.2398%	.2049%	.1350%	.0034%
8/4/2025	.0028%	.0002%	.0002%	.0018%	.0017%	.0046%	.0035%	.0189%	.0020%	.0106%	.0106%	.0349%	.0000%	.0072%	.0071%
8/5/2025	.0006%	.0002%	.0002%	.0018%	.0016%	.0015%	.0006%	.0100%	.0010%	.0005%	.0002%	.0152%	.0000%	.0023%	.0020%
8/6/2025	.0000%	.0002%	.0002%	.0020%	.0018%	.0021%	.0015%	.0117%	.0068%	.0015%	.0001%	.0421%	.0042%	.0022%	.0016%
8/7/2025	.0003%	.0004%	.0002%	.0020%	.0018%	.0014%	.0007%	.0161%	.0011%	.0002%	.0002%	.0120%	.0120%	.0024%	.0019%
8/8/2025	.0018%	.0020%	.0019%	.0023%	.0019%	.0016%	.0009%	.0151%	.0010%	.0002%	.0002%	.0566%	.0081%	.0056%	.0052%
8/11/2025	.2395%	.0002%	.0002%	.0033%	.0017%	.0013%	.0005%	39.8851%	.0004%	.0003%	.0003%	.0544%	.0233%	.4782%	.2399%
8/12/2025	.0001%	.0002%	.0002%	.0023%	.0016%	.0012%	.0005%	.0119%	.0006%	.0001%	.0001%	.0284%	.0142%	.0020%	.0014%
8/13/2025	.0001%	.0002%	.0001%	.0022%	.0017%	.0015%	.0008%	.0117%	.0068%	.0001%	.0001%	.0314%	.0070%	.0019%	.0015%
8/14/2025	.0002%	.0179%	.0179%	.0021%	.0017%	.0013%	.0006%	.0150%	.0006%	.0002%	.0002%	.0307%	.0184%	.0198%	.0194%

# Equities – Industry Aggregate Trade Date Statistics

## July 11, 2025 – July 29, 2025

Trade Date	Processed	Accepted	Late	Overall Errors Count
2025-07-11	40,186,746,533	40,180,967,313	1,467,855	3,768,237
2025-07-14	40,103,989,284	40,103,661,729	778,380	2,747,637
2025-07-15	47,540,751,354	47,540,450,390	2,126,773	4,607,131
2025-07-16	52,728,386,516	52,727,214,400	372,801	2,762,022
2025-07-17	40,433,562,521	40,433,261,008	46,254,469	48,018,242
2025-07-18	41,450,925,914	41,450,600,409	4,602,108	6,589,553
2025-07-21	38,053,067,033	38,052,726,256	775,000	2,507,463
2025-07-22	43,965,919,933	43,965,612,100	1,391,813	3,092,751
2025-07-23	44,321,683,279	44,321,405,567	1,554,856	7,053,607
2025-07-24	41,001,318,412	41,000,872,512	10,268,681	12,449,148
2025-07-25	35,609,336,249	35,609,089,947	7,539,417	11,743,110
2025-07-28	37,220,859,590	37,220,499,165	3,507,200	5,461,504
2025-07-29	43,731,181,238	43,730,729,647	114,554,389	117,453,965

# Equities – Industry Aggregate Trade Date Statistics

## July 30, 2025 – August 14, 2025

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Trade Date	Processed	Accepted	Late	Overall Errors Count
2025-07-30	51,749,518,119	51,749,121,727	143,698,192	153,881,780
2025-07-31	58,698,249,759	58,697,935,617	100,915,083	111,274,363
2025-08-01	69,294,961,350	69,290,889,388	178,233,660	189,854,079
2025-08-04	41,338,544,342	41,331,452,517	94,181,572	116,023,045
2025-08-05	50,689,568,115	50,689,340,451	66,310,873	67,434,652
2025-08-06	47,015,948,333	47,015,692,003	9,749,037	10,907,153
2025-08-07	55,841,960,581	55,841,312,907	10,076,920	11,475,079
2025-08-08	42,473,974,610	42,465,368,689	524,395	1,582,092
2025-08-11	41,936,261,245	41,908,181,158	30,985,997	32,114,362
2025-08-12	43,332,822,913	43,332,668,858	2,417,474	3,351,146
2025-08-13	44,338,255,484	44,338,123,480	7,041,870	7,727,645
2025-08-14	44,072,078,965	44,071,810,024	18,038,052	18,841,105

# Options – Industry Aggregate Trade Date Statistics

## July 11, 2025 – July 29, 2025

Trade Date	Processed	Accepted	Late	Overall Errors Count
2025-07-11	22,660,179,149	22,660,106,774	83,597	512,276
2025-07-14	22,156,393,905	22,156,326,135	827,642	1,163,011
2025-07-15	22,737,288,902	22,737,145,723	4,200,741	4,642,904
2025-07-16	23,942,965,065	23,942,907,739	3,548,337	4,470,191
2025-07-17	22,886,948,661	22,886,890,822	2,139,841	2,520,264
2025-07-18	23,134,231,777	23,134,136,061	171,185	583,177
2025-07-21	23,234,453,633	23,234,391,482	15,357	366,143
2025-07-22	23,600,487,333	23,600,254,541	585,679	927,715
2025-07-23	23,906,512,255	23,906,404,853	430,506	851,873
2025-07-24	23,539,504,625	23,539,392,772	144,961	484,255
2025-07-25	23,510,858,925	23,510,775,299	1,720,982	2,145,144
2025-07-28	23,211,985,395	23,211,910,418	176,351	537,344
2025-07-29	23,719,601,983	23,719,227,806	670,479	1,024,878

# Options – Industry Aggregate Trade Date Statistics

## July 30, 2025 – August 14, 2025

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Trade Date	Processed	Accepted	Late	Overall Errors Count
2025-07-30	23,514,852,183	23,514,472,434	682,425	990,075
2025-07-31	23,141,095,271	23,140,668,918	737,511	1,047,984
2025-08-01	24,472,311,627	24,472,233,169	444,637	830,150
2025-08-04	22,608,006,754	22,607,953,167	11,136,859	12,090,032
2025-08-05	22,967,305,745	22,967,253,390	136,617	446,124
2025-08-06	23,503,274,177	23,503,217,052	11,120	361,504
2025-08-07	23,654,387,249	23,654,282,936	87,338	434,774
2025-08-08	24,579,664,691	24,579,177,529	449,056	1,265,695
2025-08-11	22,982,068,532	22,885,330,544	54,813,157	55,139,080
2025-08-12	24,693,195,171	24,693,141,968	15,038	343,750
2025-08-13	24,774,205,177	24,774,165,210	16,169	359,412
2025-08-14	24,807,529,603	24,803,074,955	17,665	4,785,923