

Reference Database: 2026 Industry Member Release Rollout

<p>Phase 1A Release Spec Publication: 3/27/26 & 5/8/26 Test: 6/29/26 Production/Prod Mirror: 7/27/26</p>	<p>Phase 1B Release Spec Publication: June 2026 Test: 9/28/26 Production/Prod Mirror: 12/7/26</p>	<p>Follow On Release Spec Publication: TBD Test: TBD Production/Prod Mirror: TBD</p>
<ul style="list-style-type: none">• For fields related to names, addresses, years of birth, LTID, plaintext LEI, plaintext EIN and <i>updateNotification</i>:<ul style="list-style-type: none">• Change the Include Key to Optional• Stop processing these fields• Stop generating errors related to these fields• Conforming changes to the Reporter Portal	<ul style="list-style-type: none">• For fields related to names, addresses, years of birth, LTID, plaintext LEI, plaintext EIN and <i>updateNotification</i>:<ul style="list-style-type: none">• Remove from the schema and feedback files• Reject if submitted• Auto-reconcile rejections related to these fields• Permanently disable Material Inconsistencies Feedback• Remove 'INACTIVE' as an allowable value for End Reason fields• Update <i>version</i> to '1.0.0' for both Reference Data Files and Transformed Identifiers Files• Conforming changes to the Reporter Portal for the above items• File Kind in Reference Data File must be set to 'REF'• Change SFTP directory structure for submissions: /submitterID/cat/ref/• Change SFTP directory structure for feedback: /submitterID/cat/ref/feedback• Validate for Error Code 22078	<ul style="list-style-type: none">• Permanently disable FDID Refresh Reports

Planned Industry Member releases and Technical Specification updates are subject to approval by the CAT NMS Plan Operating Committee.