

# SROs Announce Members of CAT Development Advisory Group

The national securities exchanges and FINRA (collectively the SROs) would like to thank all applicants for their interest in the Development Advisory Group, and are pleased to announce the firms and organizations that have been selected as new members of the Consolidated Audit Trail (CAT) Development Advisory Group.

The role of the CAT Development Advisory Group will be to advise the SROs on various aspects of the CAT including impact upon CAT participant firms and the broader industry.

The SROs have identified 12 additional firms and organizations to serve on the Development Advisory Group:

Casey Securities, LLC  
Citigroup Global Markets Inc. ("CGMI")  
FOG Equities, LLC  
New Albion Partners, LLC  
Optiver US LLC  
Pershing LLC, A BNY Mellon Company  
Susquehanna International Group, LLP  
TD Ameritrade  
TD Securities USA LLC  
Thomson Reuters BETA Systems  
UBS Securities LLC  
Vandham Securities Corp

The members of the Development Advisory Group were selected based on the information provided in the applications that were submitted, and the need for subject matter expertise in areas that relate to the development of the CAT.

Please note that the CAT Development Advisory Group is not related to the Advisory Committee mandated by Rule 613. The Advisory Committee will be formed once the NMS Plan is in effect. Selection to the Development Advisory Group is not indicative of selection to the Advisory Committee.

Last Updated: May 2, 2014